

Recognised Exemptions

Please note that candidates are only entitled to an exemption from one unit

Awarding Body	Unit/Qualification	Introduction to Securities & Investment	UK Financial Regulation	UK Regulation & Professional Integrity	Investment, Risk & Taxation	Taxation in the UK for individuals & Trusts	Financial Markets
ACCA	Professional Level	Yes	No	No	No	No	Yes
CFA	Level 1	Yes	No	No	No	No	No
CFA	Level 2	Yes	No	No	No	No	No
CFA	Level 3	Yes	No	No	No	No	Yes
CFA UK	Level 3 IMC	Yes	Yes	No	No	No	No
CFA UK	Level 4 IMC unit 1	Yes	Yes	Yes	No	No	No
CFA UK	Level 4 IMC unit 2	No	No	No	Yes	No	No
CII	FPC1	Yes	Yes	No	No	No	No
CII	CF1	Yes	Yes	No	No	No	No
CII	R01	Yes	Yes	Yes	No	No	No
CII	R02 and R03	No	No	No	Yes	No	No
CII	R03	No	No	No	No	Yes	No
CII	G10	No	No	No	No	Yes	No
CII	J01	No	No	No	No	Yes	No
CIMA	Professional Qualification	Yes	No	No	No	No	No
ICAS	CA	Yes	No	No	No	No	No
ICAEW	ACA	Yes	No	No	No	No	No
FINRA	Series 7	Yes*	No	No	No	No	No
FINRA	Series 65	Yes*	No	No	No	No	No
LIBF	CeFA	Yes	Yes	No	No	No	No
LIBF	CeMAP	Yes	Yes	No	No	No	No
LIBF	DipFA - FSRE unit	Yes	Yes	Yes	No	No	No
LIBF	DipFA	Yes	Yes	Yes	Yes	No	No
SQA/Calibrand	Diploma in Professional Financial Advice - unit 1	Yes	Yes	Yes	No	No	No
SQA/Calibrand	Diploma in Professional Financial Advice - unit 2 and 3	No	No	No	Yes	No	No

* International Introduction to Securities & Investment